

This Information Disclosure Statement is not a representation that a search has been made or that no other information material to the patentability of this invention exists.

☒ This Information Disclosure Statement is being filed within three months of the filing or entry of the national stage of this application, or before the mailing of a first Office Action on the merits, whichever is later. No fee is required under 37 C.F.R. § 1.97(b). However, in the event that more than 90 days from filing have elapsed and a first Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the fee required under 37 C.F.R. 1.17(p) to Deposit Account Number 01-1173.

☐ This Information Disclosure Statement is being submitted *after* three months from the date of filing or entry of the national stage of this application, or *after* the mailing of a first Office Action, whichever is later, but *before* the mailing date of either a final Office Action or a Notice of Allowance.

☐ The Commissioner is hereby authorized to charge the fee required under 37 C.F.R. § 1.17(p) to Deposit Account 01-1173

-OR-

☐ Certification as specified in 37 C.F.R. § 1.97(e) follows:

☐ *Each* item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of this statement.

☐ *No* item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

The Examiner is hereby requested to consider these references and other information and make them of record in the above-referenced application. Applicants respectfully submit that the claims of this application are patentable over the above-cited references and information. Examination and allowance of this application at an early date are requested.

Respectfully submitted,

Date: July 26, 1999

By: Owen J. Bates
Owen J. Bates, Reg. No. 40,346

ALZA Corporation
950 Page Mill Road
PO Box 10950
Palo Alto, CA 94303-0802
(650) 496-8267

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on July 26, 1999.

ALZA CORPORATION

BY: Henrietta Votaw
Henrietta Votaw

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail, Mailing Label EL 523 934 923, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on October 4, 2000.

ALZA CORPORATION

By: Henrietta Votaw
Henrietta Votaw



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) :	TRAUTMAN, et al.)	
)	
Serial No. :)	Group Art Unit:
)	Not Assigned
Filed :	Evendate Herewith)	Examiner:
)	Not Assigned
For :	DEVICE FOR ENHANCING)	
	TRANSDERMAL AGENT FLUX)	
)	
)	

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:


The above-identified application is a continuation of U.S. Patent Application Serial No. 09/208,813 filed December 9, 1998, which in turn claims priority from U.S. Provisional Application No. 60/069,339 filed December 11, 1997.

International Publication WO 99/29298 published June 17, 1999 is a foreign counter part of the parent application.

The claims in the present application are at least entitled to the filing date of the U.S. parent application, namely December 9, 1998. Hence, the WO 99/29298 publication should not be considered "prior art" to the presently solicited claims.

Respectfully submitted,

Dated: October 4, 2000



D. Byron Miller, Reg. No. 30,661
Patent Attorney for Applicants

ALZA Corporation
1900 Charleston Road
P.O. Box 7210
Mountain View, CA 94039-7210
(650) 564-7850

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

ARC 2685 R1

SERIAL NO.

FILING

GROUP

0916 U.S. PTO

09/08/163

10/04/00

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	RE 25,637	09/64	Kravitz, et al.	128	253	
	3,675,766	07/72	Rosenthal	206	63.4	
	3,814,097	06/74	Ganderston et al.	128	268	
	3,964,482	06/76	Gerstel et al.	128	260	
	4,340,048	07/82	Eckenhoff	128	213	
	4,379,454	04/83	Campbell et al.	604	897	
	4,588,580	05/86	Gale et al.	424	21	
	4,655,766	04/87	Theeuwes et al.	604	896	
	4,698,062	10/87	Gale et al.	604	896	
	4,753,651	06/88	Eckenhoff	424	449	
	4,756,314	07/88	Eckenhoff et al.	128	760	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	W0 96/37155	11/96	PCT	A61b	17/20		
	W0 96/37256	11/96	PCT	a61n	1/30		
	W0 97/07734	03/97	PCT	A61B	5/00		
	WO 97/48440	12/97	PCT	A61N	1/30		
	WO 98/28037	07/98	PCT	A61M	37/00		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Haley, Thomas J., et al., Journal of Pharmaceutical Sciences, Vol. 63, (1974), pp 106., "Instrument for Producing Standardized Skin Abrasions".
		Eppstein, Jonathan, et al., presented at a conference sponsored by IBC in San Diego on 12/15-12/18/97, "Rapid Transdermal Drug Delivery with Thermal Micro-Poration".

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. ARC 2685 R1		SERIAL NO.	
				FILING		GROUP	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,832,953	05/89	Campbell et al.	424	448	
		4,867,982	09/89	Campbell et al.	424	449	
		5,080,646	01/92	Theeuwes et al.	604	20	
		5,147,296	09/92	Theeuwes et al.	604	20	
		5,169,382	12/92	Theeuwes et al.	604	20	
		5,169,383	12/92	Gyory et al.	604	20	
		5,242,406	09/93	Gross et al.	604	132	
		5,250,023	10/93	Lee et al.	604	20	
		5,268,209	12/93	Hunt et al.	428	34.3	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	<div style="text-align: center;">TRANSLATION</div> <div style="display: flex; justify-content: space-between;"> YES NO </div>
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
			Reiss, Susan M., Biophotonics International, May/June 1997, pp 43-45, "Glucose- and Blood-Monitoring				
			Systems Vie for Top Spot".				
EXAMINER				DATE CONSIDERED			
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. ARC 2685 R1	SERIAL NO.
	FILING	GROUP

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,279,543	01/94	Glikfeld et al.	604	20	
		5,279,544	01/94	Gross et al.	604	20	
		5,310,404	05/94	Gyory et al.	604	20	
		5,312,456	05/94	Reed et al.	411	456	
		5,362,307	11/94	Guy et al.	604	20	
		5,385,543	01/95	Haak et al.	604	20	
		5,423,739	06/95	Phipps et al.	604	20	
		5,438,984	08/95	Schoendorfer	128	632	
		5,569,272	10/96	Reed et al.	606	151	

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>

EXAMINER	DATE CONSIDERED
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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

ARC 2685 R

Application Number

09/208,813

Applicant(s)

TRAUTMAN, Joseph Creagan, et al.

Filing Date

12/09/98

Group Art Unit

3734

107/047/00

107/047/00

107/047/00

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,072,122	01/63	Rosenthal, S.R.	128	253	
		2,893,392	07/59	Wagner, et al.	128	253	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		DE 195 25 607 A1	01/97	Germany	A 61 M	31/00		✓
		WO 96/17648	06/96	PCT	A61N	1/30		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

ARC 2685 N1

Application Number

Applicant(s)

TRAUTMAN, Joseph Creagan, et al.

Filing Date

Group Art Unit

10/04/00

09/684163



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 99/29298	06/99	PCT	A61K	9/00		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.